

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

SIMMONS

Serial No. 10/642,605

Filed: August 19, 2003

Title: JEWELRY DISPLAY CASE AND JEWELRY DISPLAY ASSEMBLY
THEREFOR

Assistant Commissioner for Patents
Washington, DC 20231



Atty Dkt. 3646-4
C#/M#

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

Date: September 4, 2003

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. One copy of the reference(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ This Information Disclosure Statement ("IDS") is being filed within three (3) months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.
- ☐ This IDS is being filed more than three (3) months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
 - ☐ a. I hereby certify that each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this IDS. 37 C.F.R. 1.97(e)(1).
 - ☐ b. I hereby certify that no item of information in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR§1.56(c) more than three (3) months prior to the filing of this IDS. 37 C.F.R. 1.97(e)(2).
 - ☐ c. Attached is a check in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). Please credit or debit Deposit Acct No. 14-1140 as needed to ensure consideration of the disclosed information. A duplicate copy of this paper is attached.
- ☐ This IDS is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Attached is our check in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). Please credit or debit Deposit Account No. 14-1140 as needed to ensure consideration of the disclosed information. A duplicate copy of this paper is attached.
 - ☐ a. I hereby certify that each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this IDS. 37 C.F.R. 1.97(e)(1).
 - ☐ b. I hereby certify that no item of information in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR§1.56(c) more than three (3) months prior to the filing of this IDS. 37 C.F.R. 1.97(e)(2).
- ☐ Relevance of the non-English language reference(s) is discussed in the present specification.
- ☐ The reference(s) was/were cited in a counterpart foreign application. An English language version of the foreign search report is attached for the Examiner's information.
- ☐ A concise explanation of the relevance of the non-English language reference(s) appears in the Appendix hereto.
- ☐ The Examiner's attention is directed to co-pending U.S. Patent Application No. _____, filed _____, which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination.
- ☐ Copies of the references were cited by or submitted to the Office in parent Application No. _____ (filed _____), which is relied upon for an earlier filing date under 35 U.S.C. 120. Thus, Form PTO1449 is attached without copies of these references. 37 C.F.R.1.98(d).

☐ Other.
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By Atty: Alan M. Kagen, Reg. No. 36,178

Signature: Alan M. Kagen



INFORMATION DISCLOSURE CITATION

ATTY. DOCKET NO.

3646-4

APPLICANT

SIMMONS

FILING DATE

August 19, 2003

SERIAL NO.

10/642,605

GROUP

Not Yet Assigned

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	618,887	2/1899	Levit			
	2,962,156	11/1960	Adams			
	D 237,234	10/1975	Wertz			
	3,930,702	1/1976	Pichowicz			
	D 251,166	2/1979	McIntosh			
	4,209,212	6/1980	McGoldrick			
	4,324,446	4/1982	LeSage			
	4,413,736	11/1983	Nibling			
	4,776,650	10/1988	Ferenzi			
	D 305,479	1/1990	Williams			
	4,978,001	12/1990	Nelson			
	5,141,300	8/1992	Ciesla			
	5,172,814	12/1992	Pell et al.			
	D 333,222	2/1993	Ewing			
	5,242,048	9/1993	Ellingsworth et al.			
	D 346,520	5/1994				
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	D 364,515	11/1995	Hou			
	D 368,583	4/1996	Sharer et al.			
	5,511,873	4/1996	Mech			
	D 372,391	8/1996	Anniballi			
	5,551,772	9/1996	Keffer			
	5,671,849	9/1997	Bacon			
	5,758,936	6/1998	Baughan			
	D 396,979	8/1998	Lawrence			
	5,931,319	8/1999	Murphy			
	D 418,348	1/2000	Thibadeau			
	D 430,755	9/2000	Simmons			

FOREIGN PATENT DOCUMENTS

DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)

*Examiner	Date Considered
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Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

Form PTO-FB-A820 (Also PTO-1449)